

Rising Tides Financial, LLC

Table of Fees for Services

Carefully read Item 4 and Item 5 of Form ADV Part 2A ("Brochure"), as these sections of the Brochure contain important details about Rising Tides Financial, LLC ("Rising Tides Financial") advisory services and fees. Fees may be negotiable. The fees below will only apply to you when you request the services listed.

Fees Charged by Rising Tides Financial	Fee Amount	Frequency Fee is Charged	Services
A percentage of assets under your management	Legacy Clients Only: \$0-\$250,000 - 1% \$250,001 - \$500,000 - 0.95% \$500,000 - \$1,000,000 - 0.85% \$1,000,001 - \$3,000,000 - 0.75% \$3,000,001 + - 0.65%	Quarterly in arrears	Portfolio management for individuals and/or small businesses; Financial planning services
Hourly Fee	\$300 - \$450	One-Time	Financial planning services
Subscription fees (for a newsletter or periodical)	\$0	N/A	N/A
Fixed fees (other than subscription fees)	\$6,000 - \$20,000	Quarterly in advance	Financial planning services; Portfolio management for individuals and/or small businesses; tax preparation
Commissions	\$0	N/A	N/A
Performance-based fees	\$0	N/A	N/A
Fees Charged by Third Parties	Fee Amount	Frequency Fee is Charged	Services
Third Party Money Manager	\$0	N/A	N/A
Robo-Adviser Fee	\$0	N/A	N/A
Talk with your Adviser about fees and costs applicable to you			

Additional fees and costs to discuss with your Adviser

Additional Fees/Cost	Yes/No	Paid To
Brokerage Fees	Yes	TD Ameritrade, Inc.
Commissions	Yes	TD Ameritrade, Inc.
Custodian Fees	Yes	TD Ameritrade, Inc.
Mark-ups	No	
Mutual Fund/ETF Fees and Expenses	Yes	Each exchange-traded fund (ETF) and mutual fund manager has their own underlying investment fee and expense which is charged directly to the net asset value of the security. More information regarding such fees is available in the security prospectus.

Effective: February 28, 2022